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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

|  |    |                        |              |
|--|----|------------------------|--------------|
| Total Number of Pages in This Submission | 10 | Attorney Docket Number | 000449.00012 |
|--|----|------------------------|--------------|

## ENCLOSURES (check all that apply)

|   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
|---|--|--|

## Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|              |                        |          |        |
|--------------|------------------------|----------|--------|
| Firm         | Banner & Witcoff, LTD. |          |        |
| Signature    |                        |          |        |
| Printed Name | Steve S. Chang         |          |        |
| Date         | February 16, 2005      | Reg. No. | 42,402 |

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

|                       |  |  |      |
|-----------------------|--|--|------|
| Signature             |  |  |      |
| Typed or printed name |  |  | Date |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## for FY 2005

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ ) **0**

### Complete If Known

|                      |                   |
|----------------------|-------------------|
| Application Number   | 10/086,867        |
| Filing Date          | March 4, 2002     |
| First Named Inventor | Hiroaki Sato      |
| Examiner Name        | Matthew W. Genack |
| Art Unit             | 2645              |
| Attorney Docket No.  | 000449.00012      |

### METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify) : \_\_\_\_\_

Deposit Account Deposit Account Number: 19-0733 Deposit Account Name: Banner & Witcoff, LTD.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayments of fee(s)  Credit any overpayments

Under 37 CFR 1.16 and 1.17

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Application Type | FILING FEES  |          | SEARCH FEES  |         | EXAMINATION FEES |         |                |
|------------------|--------------|----------|--------------|---------|------------------|---------|----------------|
|                  | Small Entity | Fee (\$) | Small Entity | Fee(\$) | Fee(\$)          | Fee(\$) | Fees Paid (\$) |
| Utility          | 300          | 150      | 500          | 250     | 200              | 100     | _____          |
| Design           | 200          | 100      | 100          | 50      | 130              | 65      | _____          |
| Plant            | 200          | 100      | 300          | 150     | 160              | 80      | _____          |
| Reissue          | 300          | 150      | 500          | 250     | 600              | 300     | _____          |
| Provisional      | 200          | 100      | 0            | 0       | 0                | 0       | _____          |

#### 2. EXCESS CLAIM FEES

##### Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 30 (including Reissues)

Multiple dependent claims

| Total Claims | Extra Claims | Fee(\$) | Fee Paid (\$) |
|--------------|--------------|---------|---------------|
| 11           | -25 (HP)=    | 0       | x 0 = 0       |

HP = highest number of total claims paid for, if greater than 20.

| Indep. Claims | Extra Claims | Fee(\$) | Fee Paid (\$) |
|---------------|--------------|---------|---------------|
| 2             | - 5 (HP) =   | 0       | x 0 = 0       |

HP = highest number of independent claims paid for, if greater than 3.

##### Small Entity

| Fee (\$) | Fee (\$) |
|----------|----------|
| 50       | 25       |
| 200      | 100      |
| 360      | 180      |

##### Multiple Dependent Claims

| Fee (\$) | Fee Paid (\$) |
|----------|---------------|
| 0        | 0             |

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets  | Number of each additional 50 or fraction thereof  | Fee (\$) | Fee Paid (\$)  |
|--------------|---------------|---|----------|----------------|
| _____        | - 100 = _____ | / 50 = _____ (round up to a whole number) x _____ | = _____  | Fees Paid (\$) |

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge) : \_\_\_\_\_

### SUBMITTED BY

|                   |                |                                   |        |           |                   |
|-------------------|----------------|-----------------------------------|--------|-----------|-------------------|
| Signature         |                | Registration No. (Attorney/Agent) | 42,402 | Telephone | 202-824-3000      |
| Name (Print/Type) | Steve S. Chang |                                   |        | Date      | February 16, 2005 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

**Hiroaki Sato**

Serial No.: 10/086,867

Filed: March 4, 2002

For: MOBILE COMMUNICATION  
APPARATUS

Atty. Docket No.: 000449.00012

Group Art Unit: 2645

Examiner: Matthew W. Genack

Confirmation No.: 3965

**AMENDMENT**

U.S. Patent and Trademark Office  
Customer Window, Mail Stop Amendment  
401 Dulany Street  
Alexandria, VA 22314

Sir:

The present paper is responsive to the non-final Office Action mailed November 17, 2004 (paper no. 2) in the above-identified application, and is being filed during the shortened statutory period for responding to the Action, which is set to expire on February 17, 2005. Accordingly, no extension fees are believed to be due in connection with this filing. However, should any such fees be due, please charge such fees to Deposit Account No. 19-0733.

Please amend the instant application as follows:

**Amendments to the Claims** are reflected in the Listing of Claims, which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 5 of this paper.